

## **JOINT PUBLIC CALL BETWEEN FINANCIADORA DE ESTUDOS E PROJETOS (FINEP), OF BRAZIL, AND THE RESEARCH COUNCIL OF NORWAY (RCN)**

### **1. INTRODUCTION**

In accordance with the Memorandum of Understanding between the Research Council of Norway (RCN) and Financiadora de Estudos e Projetos (Finep), Brazil, signed in Rio de Janeiro, November 2014, the following document is made between the Parties of the Agreement on the terms and procedures for its implementation: regarding Finep-RCN joint call in 2016, with project start in 2016/2017.

### **2. OBJECTIVES**

**2.1.** This selection aims to support projects elaborated in cooperation between Brazilian and Norwegian companies, through their existing financing instruments within the scope of the thematic lines presented in item "3" of this Joint Public Call.

**2.2.** The grants will be conditioned to the usual flow of current processing in each of the Financial Institutions (Parties), including the framework, namely: technical, financial and legal analysis, approval and contracting.

### **3. JOINT INNOVATION PROJECTS AND THEMES**

The Parties are launching a call for proposals on innovation projects in the following priority areas relevant for the offshore petroleum industry:

- subsea technology
- increased oil recovery
- environmental technology

The number of projects to be selected for funding will strongly depend on the number and quality of the submitted applications and the available funds.

Joint innovation projects are to be carried out by companies from both countries. Small- and medium sized enterprises will be given priority, and it will be regarded as positive if research institutions are included to conduct necessary research. The latter is not an absolute requirement for funding.

### **4. FUNDING OF PROJECTS**

The RCN finances the Norwegian partners, while Finep finances the Brazilian partners. The funding for each applicant will be granted according to its respective Agency usual funding requirements: RCN in the form of grants, in the total volume of NOK 10 million and Finep in

the form of grants for companies (subvenção econômica), in the total volume of R\$ 5 million. FINEP's supporting conditions are described on annex 1 of this document.

The duration of each project will be up to 3 years.

Norwegian Companies:

The Norwegian funding will cover the expenses one may have in the application type "Innovation Project for the Industrial Sector". Relevant project costs can be found here: [http://www.forskningsradet.no/en/Innovation\\_project\\_for\\_the\\_industrial\\_sector/1253963988186](http://www.forskningsradet.no/en/Innovation_project_for_the_industrial_sector/1253963988186).

Funding of selected projects will be provided in annual installments when every next installment is authorized only after evaluation of a report on the previous instalment spent and if only both reports submitted respectively to the RCN are evaluated positively (see 6. Reporting).

Brazilian Companies:

Funding of selected projects, rules for installments, evaluation of reports and other details of the project for Finep will be define according to technical criteria regarding Brazilian legislation.

If and when inaccuracies or changes in the project already approved are necessary, the Parties shall inform each other and they should be implemented only if approved by each Party.

## **5. THE REVIEW PROCESS AND THE JOINT ASSESSMENT CRITERIA**

The submission of applications will be performed in a 2-step process.

### **Step 1:**

The 1<sup>st</sup> step will be to submit a mandatory draft which has to follow the template provided in the call text. The draft must be written in English, and the partner applicants in Brazil and Norway must submit identical drafts to Finep and RCN, respectively.

The drafts will be joint evaluated by the administrations in Finep and RCN, and the Parties will hold a meeting to discuss the relevance of the drafts for both Brazilian and Norwegian continental shelves. The applicants in the respective countries will thereafter receive feedback in good time before the final deadline for the application in step 2. The drafts will qualify for participation in step 2, but applicants will not be rejected from the application process based on the draft in step 1.

In Brazil, applicants must also submit a draft to Finep in Portuguese in addition to the English version. The draft in Portuguese and in English must be submitted through the e-mail [edital\\_finep\\_rcn@finep.gov.br](mailto:edital_finep_rcn@finep.gov.br) and through this address:

FINEP – Financiadora de Estudos e Projetos  
Praia do Flamengo, 200, 3º andar, Protocolo  
CEP 22210-065 - –Rio de Janeiro, RJ

### **Step 2:**

The Norwegian applicants apply for funding in accordance with the RCN rules and the Brazilian applicants in accordance with the Finep rules, both using their national agencies own application forms.

The main proposals, written in accordance with the feedback presented to the applicant in step 1, and received electronically, by the respective Parties by the set deadline, will be reviewed according to criteria given on the Parties' own websites. Finep and the RCN will conduct parallel reviews of the proposals. Finep and the RCN will arrange reviews due to their own rules, regulations and practices.

Even though the Parties will use evaluation criteria available through their respective evaluation systems, the assessments will generally cover the following criteria:

- *Level of innovation*
- *Level of research*
- *Additionality*
- *R&D project quality*
- *International cooperation*
- *Relevance to the call for proposals*
- *Potential for value creation*

In addition, the following criteria must be fulfilled:

- there must be at least one Brazilian company and one Norwegian company in each project presented, and the project may involve another companies. Universities and research institutions of both countries may participate as providers of services;
- the cooperative R, D & I industrial project should aim to develop products, processes, services, seeking commercialization in the global market;
- the project partners should agree in advance on intellectual property rights and the marketing strategy for the project outcomes (a formalized agreement will be required from approved Brazilian company by the time of the signature of the contract with Finep ). The agreement should also contain the obligations of each partner company, the country where the project will be developed, the share of each company in the total cost of the project, weather there will be the participation of organizations from other countries in the project and what are the obligations assumed by such organizations, the penalties in case of default and the court elected to settle disputes;
- the tasks contained on the work plan should be clearly assigned to each partner as well as the resources allocated and the resources required to implement the project;
- the project must be balanced between participants and significant for both countries;
- both Brazilian and Norwegians proponents must submit their requests to the respective agency of their country - Finep and RCN. The request presented to just one of the agencies will not be valid.

Other evaluation criteria may apply in Norway, according to established evaluation system used by RCN. Finep specific criterias will be applied in Annex 1.

## 6. SELECTION PROCESS

Finep and the RCN will perform separate evaluation of proposals received in step 2. A joint decision meeting will be conducted by the two Parties together to negotiate selection of the proposals for funding, and review the progress of the joint call. Final decisions of funding will be reached by consensus at this meeting. Only projects that have received a high score based on referees from both Parties can be considered for support.

Final decisions will be taken based on the selection criteria described on item 5, step 2. A list of the awarded projects shall be published by the Parties on their webpages.

### 6.1 TIMETABLE

The Timetable procedure of the call implementation is as follows:

Deadline for submitting drafts (step 1):	23 <sup>th</sup> of March, 2016
Deadline for submitting full applications (step 2):	25 <sup>th</sup> of May, 2016
Exchange of lists of applications:	1 <sup>st</sup> of June, 2016
Exchange of respective review results:	15 <sup>th</sup> of September, 2016
FINEP: Preliminary selection decision:*	14 <sup>th</sup> of October, 2016*
FINEP: Deadline for submitting request for reconsideration	25 <sup>th</sup> of October, 2016*
Final joint selection decision	18 <sup>th</sup> of November, 2016
Disclosure of the results of the joint selection decision	30 <sup>th</sup> of November, 2016
Start of the period to contract the projects:	1 <sup>st</sup> of December, 2016

\* these milestones will only be available for the Brazilian applicants, and will not be extended to the Norwegian partners. The milestones will only be implemented in the evaluation process in FINEP, not for the Research Council of Norway.

### 6.2 REPORTING

The national project coordinators on behalf of their participating national teams will submit reports to the respective party, according to the regulations given by Finep or the RCN. Any reports that contain written descriptions about the project activities, should cover activities in both Brazil and Norway.

#### **In Norway:**

The national project coordinator must submit progress reports twice a year. To qualify for payments from the RCN, one must also submit project account reports. Details regarding these, and other reports, will be defined in RCN's contract if the project is selected for receiving a grant.

### **In Brazil:**

The national project coordinator must submit progress reports on a semester basis. To qualify for payments from Finep, one must also submit project account reports. These details will be defined on Finep's contract if the project is selected for receiving grant.

## **7 OMISSIONS**

Situations that are not covered in this document will be evaluated jointly by members of FINEP and RCN designated in Item 8 as national points of contact, within 10 (ten) business days of the presentation by electronic message addressed to any of the two with copy to the other.

## **8 NATIONAL CONTACT POINT**

### **In Brasil**

Finep  
Alice Abreu Pessoa  
Tel: +55 21 2555-0363  
e-mail: [apabreu@finep.gov.br](mailto:apabreu@finep.gov.br)

### **In Norway**

RCN  
Andreas Q. Nielsen  
Tel: +47 22 03 74 27  
e-mail: [aqn@rcn.no](mailto:aqn@rcn.no)

## **9 MISCELLANEOUS**

Each Party covers its own administration costs from its contribution to the call unless otherwise jointly decided.

This Agreement is subject to the availability of funds in the budget of the Parties and the applicable laws and regulations of their respective states.

The validity of this Public Call corresponds with the effective period of the MoU between the RCN and Finep, and the Cofinancing Program.

For each Party the Public Call will come into force from the date of signature by the Party's authorized representative and shall remain in force until the end of the funded projects.

Amendments to this document may be proposed either by the RCN or Finep. Such amendments will become effective when the RCN and Finep have mutually agreed upon them in written.



The specific rules for Brazilian companies are detailed on the annex 1. Reading this document is mandatory.

## ANNEX 1

### Detailment of the Joint Public Call between Financiadora de Estudos e Projetos (FINEP) and Research Council of Norway (RCN)

#### **1. Introduction**

This annex is an integrant part of the Joint Call between Financiadora de Estudos e Projetos (Finep) and the Research Council of Norway and aims at detailing the Joint Public Call on conditions for support of Finep to Brazilian companies. The content of this annex is only applicable to Brazilian companies.

1.1. It is considered an innovation project one that carries out activities such as: research, development, engineering and / or absorption of technology, production and marketing of products, processes and / or services new or significantly improved, and other actions necessary to ensure that these new products, processes and services are brought to market.

#### **2. Finep's financial support to projects**

The financial support for each of the companies will be granted in accordance to the conditions established by each of the respective agencies.

For Brazilian companies, non-reimbursable funds of economic support from the National Scientific and Technological Development Fund (FNDCT) to the overall limit of R \$ 5,000,000.00 (five million reais) will be committed.

The financial support from FINEP to projects from this selection process will be up to 90% of the total approved budget amount, and the rest of the financial resources should be allocated by the candidate company or group of companies responsible for the project selected as minimum mandatory counterpart.

#### **3. Eligibility**

Brazilian companies (from now on referred to as proposers), constituted as individually economic organizations established for the production or circulation of goods or services, for profit, incorporated under the laws of Brazil, established its administration in Brazil, are eligible.

By the date of the publication of this announcement, the proposers should have engaged that includes activity related to the topic of the proposal.

Among the proponents, there must be at least one Brazilian company and one Norwegian company. The project may involve other companies also.

Brazilian and Norwegian companies that submit proposal in partnership may not belong to the same economic group.

#### **4. Characteristics of the proposals**

It is allowed to Brazilian companies to submit proposals in all priority areas they want in partnership with Norwegian companies. However, each company can compete with only one proposal for each topic mentioned in item 3 of the Joint Public Call.

The total value of the proposal is constituted by the sum of the value requested to FINEP with the value of the counterpart to be invested by the beneficiaries. FINEP will only recognize expenditures on subsidized funds from the contract signing date on. In what concerns counterpart financial resources, FINEP recognizes expenses incurred from the date of publication of the final results of the Joint Public Call on.

Projects must have a minimum amount of R \$ 500,000.00 (five hundred thousand reais), with implementation period of up to three years. The Brazilian company selected to receive resources from economic subvention should develop the part of the project under its responsibility in national territory.

Tropicalization projects and / or internalization of technologies already developed abroad by parent and / or controllers of leading companies established in Brazil are not eligible for support with funds from this program.

Financial support through grants for projects involving effective transfer and absorption of technology(ies) can be implemented. In order to fulfill this condition, the signature of services contracts abroad, as well as the purchase of foreign items and the establishment of strategic partnerships is accepted, as long as there is enough justification and that the technology absorption in the country is fully proven.

##### **4.1. Amount requested to FINEP**

The eligible items by Finep should be framed in the following items of expenditure:

- Fixed remuneration and benefits and employer obligations - own staff with employment contract in accordance with the rules of the Consolidation of Labor Laws – CLT (a Brazilian law), allocated in the proposal in research, development and innovation (R, D & I);
- Third-party services - individuals or legal - to perform specific services necessary to implement the project;
- Consumables;
- Expenditures - exclusively for the team performing in project-related activities;
- Travel expenses - exclusively for the team performing in project-related activities.
- Works and exclusively related facilities to the project and justified; and
- Equipment and permanent materials related to the project and justified;

The cost of capital investments may not exceed 20% of the budget amount supported by Finep.

#### 4.2. Minimum amounts of counterpart support

The resources from subvention grants imply that the beneficiary necessarily invests financial resources as a counterpart to the project.

The companies responsible for projects selected to receive resources from subvention grants should invest an amount of resources correspondent to the value of their gross revenues on 2015. If the company belongs to an economic group, its composition of revenues will be used to calculate the counterpart percentage.

Economic group is considered as a group of companies whose majority or effective control is exercised by the same physical and / or legal entities.

CLASSIFICATION BY SIZE	GROSS REVENUES IN 2015	MINIMUM OF (calculated the grant)	PERCENTAGE OF COUNTERPART considering
Small Companies	Up to R\$ 16,000,000.00	10%	
Medium Companies	From R \$ 16,000,000.01 to R\$ 90,000,000.00	30%	
Large Companies	From R \$ 90,000,000.01 on	50%	

#### 4.3. Counterpart items

The financial counterpart value may be composed of operational expenses and expenses with equipment, from that associated with project activities execution.

The amount spent with counterpart resources should be seen in the same items financed by FINEP (4.1).

#### 4.4. Deadline

The project should last up to 36 months leave to extension in accordance with the grant agreement.

### 5. Steps

The proposals will be held in a two-step process as detailed in the Joint Public Call:

Step 1: Obligatory submission of a pre-project to be evaluated jointly by Finep and RCN. Candidates in their countries will receive later guidance for preparation of proposal (before the deadline for the application in step 2). This is a qualifying step. Sending the pre-project is mandatory. However, this step is not round.

In Brazil, candidates must also submit a pre-project to FINEP in Portuguese, in addition to the English version.

Step 2: Project proposals at this stage may be redefined from the guidelines sent in step 1 and shall be prepared in accordance with the guidelines published in the Addendum.

In Brazil, candidates must also submit the project proposal to FINEP in Portuguese, in addition to the English version.

### 5.1. Step 1

The pre project proposal (form of Proposed Pre-project – FAP - Annex 3) must be sent to FINEP through the e-mail [edital\\_finep\\_rcn@finep.gov.br](mailto:edital_finep_rcn@finep.gov.br), in English version and in Portuguese version, until the deadline established in item 10.

The submission form of Proposed Pre-project – FAP (Annex 3), printed and signed by the legal representative after sending through e-mail shall be submitted to FINEP by the deadline established in item 10. The printed version of the FAP should be identical to the version sent through e-mail. This document should be clearly stated the company name. The envelope can be posted or delivered directly to the FINEP, in the following the address:

FINEP – Inovação e Pesquisa

Praia do Flamengo, 200, 3rd floor, Protocol

CEP 22210-065 – Rio de Janeiro, RJ

If the same proposal presents more than one envelope to the same priority area, the one considered will be the one whose date stamp to post or FINEP protocol, in case it was delivered directly, is the latest, subject to the deadline set out in item 10.

### 5.2. Step 2: Evaluation process and joint evaluation criteria

The project proposal should be sent to FINEP through the Internet, by the deadline established in item 10, through Proposals Form - FAP specific to this Joint Public Call, available on the website of the FINEP address <http://www.finep.gov.br>.

In addition, it is mandatory that the following printed documents be sent to FINEP:

a) Project Proposal Submission Form - FAP, printed and signed by the legal representative after transmission by electronic means;

- b) Updated company's constitution/ Social Contract;
- c) Designation Act of the current leaders, when the name is not listed in the company's constitution / social contract;
- d) Document for Economic and Financial Analysis: Balance Sheet of the years 2013, 2014 and 2015, and
- e) Documents for Legal Analysis (2 Attachment).
- f) for companies that belong to an economic group, as described item 4.2, you must send comprobatory documentation of its Gross Sales referring to the year 2015.

Printed documents should be submitted to FINEP until the established deadline in item 10. The printed version of the FAP should be identical to the version sent electronically. All documents must be inserted in a single sealed envelope, where the number of proposed identification (electronic protocol) and the company name shall be clearly indicated. The envelope can be posted or delivered directly to the FINEP, in the following the address:

FINEP – Inovação e Pesquisa

Praia do Flamengo, 200, 3rd floor, Protocol

CEP 22210-065 – Rio de Janeiro, RJ

If the same proposal presents more than one envelope to the same priority area, it will be considered the one whose date stamp from the post office or FINEP protocol, if delivered directly, is the latest, subject to the deadline set out in item 10.

Finep may request adjustments to candidates and / or clarification that may be necessary with regard to the documents listed above, establishing a term for compliance. Failure to meet the deadline will result in the elimination of the proposal, regardless of the stage where it is the selection.

## **6. Proposal selection**

The proposals of the selection process will consist of FINEP round evaluation and ranking of proposals by the Evaluation Committee formed by FINEP and RCN.

### **6.1. Evaluation of FINEP round**

FINEP's evaluation process will take place according to the following items, subjecting the proposals to elimination, of this Joint Public Call:

1. Eligibility of applicants (according to item 3)
2. Submission of the documents mentioned in item 5.2 (letters "a" to "f") by the deadline (item 10)

Only the proposals which meet all aspects listed above will be reputable as "Satisfactory", being able to be assessed in accordance with item 6.2.

### **6.2. Ranking of proposals**

The evaluation of each proposal will be held by the Evaluation Committee, composed of members of Finep, according to the following criteria:

Adherence of the project to the public call objectives	Round
Relevance of innovation	35%
Project impact for oil and gas industry	25%
Consistency between the company's strategy and project	20%
Team's ability to develop the project	20%

All the above criteria will be scored from 1 to 5. The criteria "Adherence of the project to the public call objectives" is eliminatory. The proposals that achieve weighted average less than 3 or grade equal to or less than 1 in any of the evaluation criteria set out above will be eliminated. Proposals not eliminated will be ranked according to their final grades, by topic, in descending order.

In case of a tie, the sort order is set based on the highest average of the marks obtained in the weight of evaluation criteria 25%. Kept the tie, the proposal for greater arithmetic average of the marks obtained in 20% weight of evaluation criteria will be the winner.

### **6.3. Additional information request**

Throughout the selection process, the Evaluation Committee may include the participation of ad hoc experts, members or not of the staff Finep, in order to request additional information and documentation, conduct joint visits to the participating company facilities, promote face-to-face meetings or take other measures as may be necessary to conduct the selection process.

### **6.4. Economic, financial and legal analysis**

During the selection stage of proposals Legal, Economic and Financial Analysis will be held, which may have the following positions: recommend approval, recommend approval with conditions to hiring and / or release or not to recommend approval. These analysis take into account the internal rules and applicable Brazilian law.

## 7. Deliberations

Proposals classified according to the shape defined on item 6 will be submitted to the FINEP's Board of the Executives for final decision.

## 8. Results

The results, Preliminary and Final, will be released on FINEP's Portal on the Internet and it will be the company's task to verify for compliance with terms set forth in this Public Selection.

The proposals of a company for each topic will be disqualified if:

- a) it did not achieve a grade equal to or greater than 1.0 (one) in each of the evaluation criteria and / or not reach the final grade of not less than three (3.0) according to item 6.2.
- b) is defined as unsatisfactory, as per item 6.1.

After the announcement of preliminary results, each applicant will receive in the e-mail address registered in FAP, the individual results for each of the proposals submitted.

After examination of all resources, the result will be announced on FINEP portal in Internet and in the Official Gazette.

## 9. Recurrence

After the publication of the PRELIMINARY result, any recurrences may be submitted by letter to FINEP, filled in at the physical address in item 6, within ten (10) calendar days of the preliminary results release date in FINEP portal in Internet.

The appeal shall comply with the requirements of Articles 58, paragraph I, and 60 of Law No. 9.784 / 1999.

In the text recurrence request will not be accepted information of any kind that modifies the original proposal, or to send additional documents to those originally sent.

## 10. Detailed schedule of the joint public selection

Presentation of Pre-projects (Step 1)	March 23, 2016
Presentation of project proposals (Step 2)	May 25, 2016
Exchange of lists of applications between Finep and RCN	June 1, 2016
Exchange of the results of revision	September 15, 2016

FINEP: Preliminary results	October 14, 2016
FINEP: Presentation of submission of recurrences	October 25, 2016
Final joint selection decision between Finep and RCN	November 18, 2016
Disclosure of the results of the joint selection decision	November 30, 2016
Start of the period to contract the projects	December 01, 2016

10.1. The step "Preliminary Results" and "Presentation of submission of recurrences" will happen only for Brazilian companies. Due to limitations of the Norwegian law it is not possible to submit recurrences to RCN.

## 11. Signature of the contracts

After the publication of the final result, the approved companies will receive a contract draft and a list of possible conditions for hiring and / or first release of funds.

Companies whose proposals are approved may be object of a technical visit in order to check the data filled in the presentation of the proposal, especially the physical infrastructure and the very performing team of the company, as well as other relevant information provided in the selection process.

If it is verified that the information provided does not correspond to reality or if an impediment to the signature of the contract is found, Finep will file the proposal. In case any of the companies already have an earlier active contract with FINEP, the new contract will depend on the evaluation of operations already contracted considering the satisfactory fulfillment of its obligations.

Final approval of the proposal does not guarantee the signature of the contract, which will not be performed in case of:

- Companies or their senior partners are listed in the national registry of convicted of improper conduct of the National Council of Justice (CNJ);
- Companies listed in the National Register of disreputable companies and Suspended (CEIS);
- Companies are in default with Finep, weather as financed, executors or guarantors of contracts object of judicial collection.
- Demonstration, even after the proposal is approved, that the transfer of resources to the company will not meet the objectives of economic subsidy;

- The subsidiaries do not have legal regularity according to the laws and regulations to receive public funding;
- Companies failing to submit any of the required documents in this Joint Public Call or are not able to prove their capacity for project execution.

The signature of the contract and the liberation of the financial resources depend on the budgetary and financial availability of Finep / FNDCT.

## **12. LEGAL BASIS**

Law No. 10.973 / 2004, regulated by Decree No. 5,563 / 2005, Law No. 11,540 / 2007, regulated by Decree No. 6.938 / 2009.

## **13. GENERAL PROVISIONS**

Proposals that are in violation of any items in this Public Selection will be disregarded.

The submission of a Proposal Submission Form - FAP filling out and sign it, the applicant company is committed to the veracity of the declared information.

Questions about the contents of this Public Selection should be directed exclusively to the email address [edital\\_finep\\_rcn@finep.gov.br](mailto:edital_finep_rcn@finep.gov.br) and, at the discretion of Finep, may be published.

The cases shall be resolved by the FINEP's Board of Executives. The Ombudsman's FINEP is accessible from the Portal [www.finep.gov.br/ouvidoria](http://www.finep.gov.br/ouvidoria).

ANNEX 3

Finep- RCN Application Form

**General guidelines:**

- the applicants must demonstrate their technical and organizational capabilities for the proposed development;j
- the proposal must be sent in English and Portuguese to Finep and in English to RCN;
- the budget must be expressed in United States' dollars;
- fields for institutions # 3 and # 4 should only be completed when, in addition to the Brazilian and Norwegian companies, there are other entities participating in the project, such as R&D institutions, for example. There will be possible to add more industrial- or research partners by just adding more textboxes to describe these partners.
- This document must be co-signed by the companies involved

<b>Project Title</b>
<b>Short Title</b>

<b>Contacts</b>	
<b>Norwegian Applicant Company</b>	
Company name	
Number of employees	Net income (USA \$)
Contact person	Title
Address	Tel
	Fax
	E-mail
	Web page
<b>Activities</b> <ul style="list-style-type: none"> <li>• Main economic activities (in % of the net income for each market segment);</li> <li>• Competitive strategy used in each market segment;</li> <li>• Company innovative capability (past history, well and ill succeeded cases, own and third part resources employed)</li> </ul>	

<b>Brazilian Applicant Company</b>	
Company name	
Number of employees	Net income (USA \$)
Contact person	Title
Address	Tel
	Fax
	E-mail
	Web page
<b>Activities</b> <ul style="list-style-type: none"> <li>• Main economic activities (in % of the net income for each market segment);</li> <li>• Competitive strategy used in each market segment;</li> <li>• Company innovative capability (past history, well and ill succeeded cases, own and third part resources employed)</li> </ul>	

<b>Partner Institution # 3</b>	
Name	
Number of employees	Net income (US\$)(for companies)
Contact person	Title
Address	Tel
	Fax
	E-mail
	Web page
Activities	

<b>Partner Institution # 4</b>	
Name	
Number of employees	Net income (US\$)(for companies)
Contact person	Title
Address	Tel
	Fax
	E-mail
	Web page
Activities	

### **Planned technologic development**

- What needs will be addressed by the project's outcome?
- What are the objectives of the project?
- What are the differences between the project's outcome and those solutions already available in the market?
- Comments about the industrial protection (Is there any restriction? The outcomes may be patented? How will the knowledge acquired in the project development be protected?)
- What is the intended innovation degree (new to the firm, new to the market or new to the world)?
- Explain why the research activities are critical to the realisation of the innovation.

### **Market**

- What is the target market for the new product, process or service?
- What is the role of each organization in its commercial exploitation?
- What are the expected competitive advantages for each partner?
- How the competitive disadvantages will be overcome?
- How the actual implementation of the outcome of the project will be done and what is the estimated investment for it?
- What are the strategies to compete in the target market?

**Definition of each partner's role in the development of the project**

Name of each partner and a brief description of their technical role in the project.

**Norwegian Applicant Company**

**Brazilian Applicant Company**

**Partner Institution # 3**

**Partner Institution # 4**

<b>Schedule</b> (please, highlight the main milestones of the project, inserting as many lines as necessary)		
<b>Project milestones</b>	<b>Length (in months)</b>	<b>Starting date</b>

<b>Estimated Budget</b> (please, inform the estimated budget for each phase discriminated above)		
<b>Project milestones</b>	<b>US\$</b>	<b>%</b>
<b>Total project budget</b>		

<b>Financial commitment of each partner</b>		
	<b>US\$</b>	<b>%</b>
<b>Norwegian Applicant Company</b>		
<b>Brazilian Applicant Company</b>		
<b>Partner Institution #3</b>		
<b>Partner Institution #4</b>		

<b>Non-Financial commitment of each partner</b>		
	<b>US\$</b>	<b>%</b>
<b>Norwegian Applicant Company</b>		
<b>Brazilian Applicant Company</b>		
<b>Partner Institution #3</b>		
<b>Partner Institution #4</b>		

<b>Costs for each partner</b>		
	<b>US\$</b>	<b>%</b>
<b>Norwegian Applicant Company</b>		
<b>Brazilian Applicant Company</b>		
<b>Partner Institution #3</b>		
<b>Partner Institution #4</b>		

**Other technology cooperation programs**

Are the companies engaged in other programs of technology cooperation? Which ones?

### **Externalities**

What are the positives and negatives impacts of the project, considering social, economic and environmental issues?

What will public funding bring to the project that the company could not realise on its own?

- What is the significance of public funding for the implementation of the R&D project?
- Describe the impact the project will have for other actors than the project participants.
- Describe the challenges to society that the project will help to solve.

### **Partners Agreement**

Do the partners have a Memorandum of Understanding or other formal agreement? If so, please add a copy of it to this form.

If not, what will be the basis for the agreement to be signed?

**(A formal agreement will be mandatory in the second evaluation phase.)**

**CO-SIGNATURES (All partners)**